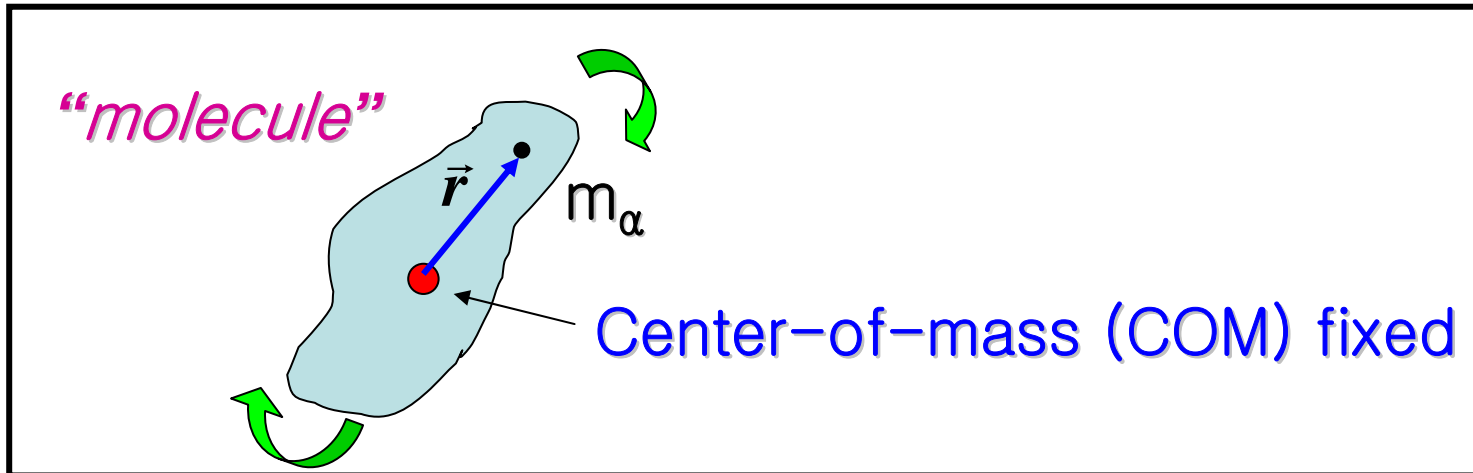


Basics-4

# Rotational Spectroscopy

# Classical Mechanics of Rotations



$$\vec{L} = \sum_{\alpha} \vec{r}_{\alpha} \times \vec{p}_{\alpha} = \sum_{\alpha} \vec{r}_{\alpha} \times m_{\alpha} \vec{v}_{\alpha} = \sum_{\alpha} m_{\alpha} \vec{r}_{\alpha} \times (\vec{\omega} \times \vec{r}_{\alpha})$$

$$\vec{r}_{\alpha} = x_{\alpha} \hat{i} + y_{\alpha} \hat{j} + z_{\alpha} \hat{k}, \quad \vec{\omega} = \omega_{\alpha x} \hat{i} + \omega_{\alpha y} \hat{j} + \omega_{\alpha z} \hat{k}$$

$$\begin{pmatrix} L_x \\ L_y \\ L_z \end{pmatrix} = \begin{pmatrix} \sum m_{\alpha} (y_{\alpha}^2 + z_{\alpha}^2) & -\sum m_{\alpha} x_{\alpha} y_{\alpha} & -\sum m_{\alpha} x_{\alpha} z_{\alpha} \\ -\sum m_{\alpha} x_{\alpha} y_{\alpha} & \sum m_{\alpha} (x_{\alpha}^2 + z_{\alpha}^2) & -\sum m_{\alpha} z_{\alpha} y_{\alpha} \\ -\sum m_{\alpha} x_{\alpha} z_{\alpha} & -\sum m_{\alpha} z_{\alpha} y_{\alpha} & \sum m_{\alpha} (y_{\alpha}^2 + x_{\alpha}^2) \end{pmatrix} \begin{pmatrix} \omega_x \\ \omega_y \\ \omega_z \end{pmatrix}$$

$$\therefore \vec{L} = \vec{I} \cdot \vec{\omega}$$

*Principal rotational  
moment of inertia:*

$$\text{Diagonalization: } \vec{I}' = \vec{X}^{-1} \cdot \vec{I} \cdot \vec{X} = \begin{pmatrix} I_A & 0 & 0 \\ 0 & I_B & 0 \\ 0 & 0 & I_C \end{pmatrix} \quad I_A \leq I_B \leq I_C$$

$$\text{Principal rotational axes: } \vec{r}' = \vec{X}^{-1} \cdot \vec{r}$$

\* Principal rotational constants:

$$A = \frac{\hbar^2}{2I_A}, B = \frac{\hbar^2}{2I_B}, C = \frac{\hbar^2}{2I_C}; A \geq B \geq C$$

\* Rotational energy:

$$E_{rot} = \frac{1}{2} \vec{\omega}^t \cdot \vec{I} \cdot \vec{\omega} = \frac{1}{2} I_A \omega_A^2 + \frac{1}{2} I_B \omega_B^2 + \frac{1}{2} I_C \omega_C^2 = \frac{L_A^2}{2I_A} + \frac{L_B^2}{2I_B} + \frac{L_C^2}{2I_C}$$

\* Rotational tops:

Linear tops:  $I_A = 0, I_B = I_C \rightarrow B = C$

Spherical tops:  $I_A = I_B = I_C \rightarrow A = B = C$

Symmetric tops – prolate:  $I_A < I_B = I_C \rightarrow A > B = C$

oblate:  $I_A = I_B < I_C \rightarrow A = B > C$

Asymmetric tops:  $I_A < I_B < I_C \rightarrow A > B > C$

near-prolate:  $A > B \approx C$

near-oblate:  $A \approx B > C$

# Diatomic and Linear Molecules

\* Energies and eigenfunctions

$$\hat{H}_{rot} = \frac{\hat{J}_x^2}{2I_x} + \frac{\hat{J}_y^2}{2I_y} = \frac{\hat{J}^2}{2I} \leftarrow I = I_x = I_y, \hat{J}^2 = \hat{J}_x^2 + \hat{J}_y^2$$

$$\hat{H}_{rot} \psi = \frac{\hat{J}^2}{2I} \psi = E \psi$$

→  $\psi = Y_{JM_J}(\theta, \phi)$ ; *spherical harmonics*

$$E_J = \frac{J(J+1)\hbar^2}{2I} = BJ(J+1), \quad J = 0, 1, 2, \dots$$

$$\begin{aligned} * B &= \frac{\hbar^2}{2I} (\text{joule}) = \frac{h}{8\pi^2 I} (\text{Hz}) = \frac{h}{8\pi^2 I c} (\text{cm}^{-1}) \\ &= \frac{16.8576314}{I(\text{amu} \cdot \text{\AA}^2)} (\text{cm}^{-1}) \end{aligned}$$

\* Selection rules

Transition dipole moment  $M = \langle J' M'_J | \hat{\mu} | J M_J \rangle$

$$|J M_J \rangle = Y_{JM_J}(\theta, \phi) = \Theta_{JM_J}(\theta) \frac{1}{\sqrt{2}} e^{iM_J \phi}$$

$$\mu = \mu_0 (\sin \theta \cos \phi \hat{x} + \sin \theta \sin \phi \hat{y} + \cos \theta \hat{z})$$

$$\therefore M = \frac{\mu_0}{\sqrt{2\pi}} \left( \hat{x} \iint \Theta_{J'M'} e^{-iM'\phi} \sin \theta \cos \phi \Theta_{JM} e^{-iM\phi} \sin \theta \cos \phi d\theta d\phi + \hat{y} \dots + \hat{z} \dots \right)$$

$$\left. \begin{array}{l} M \neq 0 \rightarrow \hat{z} - \text{component} : \Delta J = \pm 1, \Delta M_J = 0 \\ \hat{y} - \text{component} : \Delta J = \pm 1, \Delta M_J = \pm 1 \\ \hat{x} - \text{component} : \Delta J = \pm 1, \Delta M_J = \pm 1 \end{array} \right\} \Delta J = \pm 1, \Delta M_J = 0, \pm 1$$

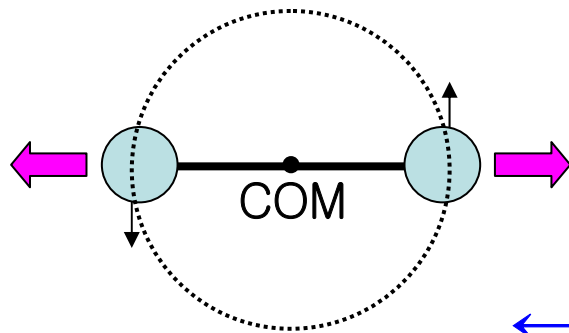
and  $\mu_0 \neq 0$

\* Degeneracy:  $(2J+1)$

\* Population of rotational states: determine relative intensities

$$P_J = \frac{(2J+1)e^{-E_J/kT}}{\sum_J (2J+1)e^{-E_J/kT}}, \quad J_{\max} = \left( \frac{kT}{2hB} \right)^{1/2} - \frac{1}{2} \leftarrow \frac{dP_J}{dJ} = 0$$

\* Centrifugal distortion: due to non-rigidity of molecules



*Centrifugal force*

$$F_c = \mu \frac{v^2}{r} = \frac{J^2}{\mu r^3}$$

← *balanced by restoring force  $F_r = k(r_e - r_c)$*

After some mathematics,

$$E_J = BJ(J+1) - D[J(J+1)]^2 + H[J(J+1)]^3 + \dots$$

D, H: centrifugal distortion constant

$$D = 4B_e^2/\omega_e^2 \text{ (Kratzer relationship)}$$

$$E_J \approx [B - DJ(J+1)]J(J+1) = B_{eff}J(J+1)$$

Vibrational and electronic state dependence of B, D:

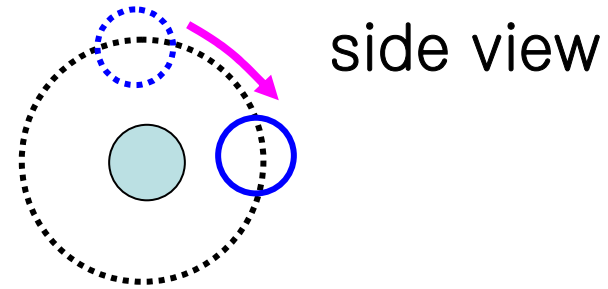
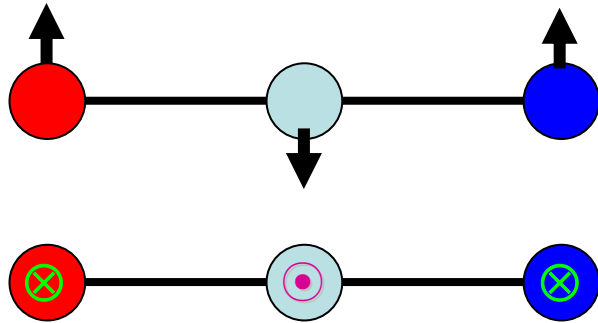
$$B_v = B_e - \alpha_e(v + 1/2) + \gamma_e(v + 1/2)^2 + \dots$$

$$D_v = D_e + \beta_e(v + 1/2) + \dots$$

$$\text{Overall, } (E_J)_v = B_v J(J+1) - D_v [J(J+1)]^2 + \dots$$

\* Vibrational angular momentum

“occur when two degenerate bending modes are excited”



In polar coordinate  $(\rho, \phi)$

$$\psi_{v_l}(\rho, \phi) = R_{v_l}(\rho)e^{il\phi}$$

←  $l$  : vibrational AM quantum number

$$l = \pm v, \pm(v-2), \dots, 0 \text{ or } \pm 1$$

*Energy levels split according to  $|l| = 0(\Sigma), 1(\Pi), 2(\Delta), \dots$*

Total angular momentum:

$$J = R(\text{rot}) + l(\text{vib})$$

Quantum number:

$$l = 1 \rightarrow J = 1, 2; l = 2 \rightarrow J = 2, 3, \dots$$

# Symmetric Top Molecules

\* Hamiltonian of 3-D rotations:

$$E_{rot} = \frac{J_a^2}{2I_A} + \frac{J_b^2}{2I_B} + \frac{J_c^2}{2I_C}, \quad J^2 = J_a^2 + J_b^2 + J_c^2$$

$$(prolate) = \frac{J_a^2}{2I_A} + \frac{1}{2I_B} (J_b^2 + J_c^2) = \frac{J^2}{2I_B} + \left( \frac{1}{2I_A} - \frac{1}{2I_B} \right) J_a^2$$

$$(oblate) = \frac{1}{2I_B} (J_a^2 + J_b^2) + \frac{J_c^2}{2I_C} = \frac{J^2}{2I_B} + \left( \frac{1}{2I_C} - \frac{1}{2I_B} \right) J_c^2$$

$$\therefore \hat{H}_{prolate} = \frac{\hat{J}^2}{2I_B} + \left( \frac{1}{2I_A} - \frac{1}{2I_B} \right) \hat{J}_a^2$$

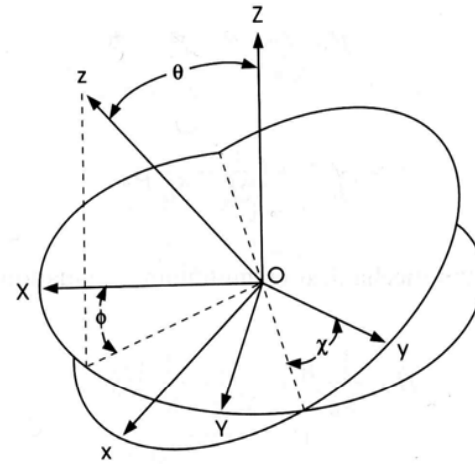
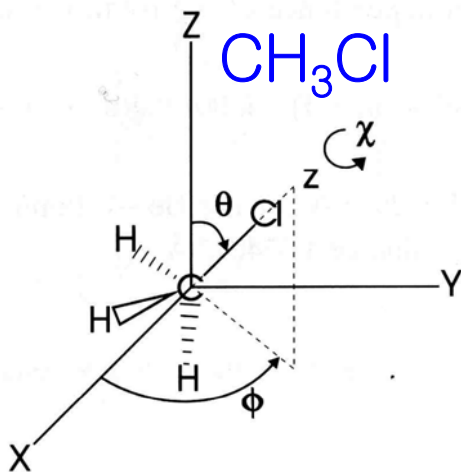
$$\hat{H}_{oblate} = \frac{\hat{J}^2}{2I_B} + \left( \frac{1}{2I_C} - \frac{1}{2I_B} \right) \hat{J}_c^2$$



\* Molecule-fixed coordinate versus space-fixed coordinate:

$$MFC(x, y, z; J_x, J_y, J_z) \Leftrightarrow SFC(X, Y, Z; J_X, J_Y, J_Z)$$

*by Euler angles  $(\theta, \phi, \kappa)$ , direction cosines  $(\alpha_i, \beta_i, \gamma_i)$*



*“( $\theta, \phi$ ) angles define the orientation of molecular  $z$ -axis (B, C) and  $\kappa$  angle define the internal orientation of  $x$ - $y$  axes (A)”*

Commutation relationship:

$$[\hat{J}_x, \hat{J}_y] = -i\hbar\hat{J}_z, [\hat{J}_y, \hat{J}_z] = -i\hbar\hat{J}_x, [\hat{J}_z, \hat{J}_x] = -i\hbar\hat{J}_y$$

$$[\hat{J}_X, \hat{J}_Y] = i\hbar\hat{J}_Z, [\hat{J}_Y, \hat{J}_Z] = i\hbar\hat{J}_X, [\hat{J}_Z, \hat{J}_X] = i\hbar\hat{J}_Y$$

\* Eigenfunctions and AM operators:

$\hat{H}$  commute with  $\hat{J}^2, \hat{J}_x, \hat{J}_z$

→ Quantum numbers  $J, K, M$

→ Eigenfunction  $|JKM\rangle = \left[ \frac{2J+1}{8\pi^2} \right]^{1/2} d_{MK}^J(\theta) e^{iM\phi} e^{iK\chi}$

Hypergeometric  
function

*but not necessary to list exact forms!*

$$\hat{H} |JKM\rangle = E |JKM\rangle, \quad \hat{J}^2 |JKM\rangle = J(J+1)\hbar^2 |JKM\rangle$$

$$\hat{J}_z |JKM\rangle = M\hbar |JKM\rangle, \quad \hat{J}_x |JKM\rangle = K\hbar |JKM\rangle$$

$$\hat{J}^+ = \hat{J}_x + i\hat{J}_y; \text{ (lowering op. in MFC),}$$

$$\hat{J}^- = \hat{J}_x - i\hat{J}_y; \text{ (raising op. in MFC)}$$

$$\hat{J}^+ |JKM\rangle = [J(J+1) - K(K-1)]^{1/2} |JK-1M\rangle$$

$$\hat{J}^- |JKM\rangle = [J(J+1) - K(K+1)]^{1/2} |JK+1M\rangle$$

\* Energies and transitions:

For prolate tops

$$\hat{H}\psi = \left[ \frac{\hat{J}^2}{2I_B} + \left( \frac{1}{2I_A} - \frac{1}{2I_B} \right) \hat{J}_z^2 \right] |JKM\rangle$$

$$\therefore E_{JK} = BJ(J+1) + (A-B)K^2$$

For oblate tops

$$\hat{H}\psi = \left[ \frac{\hat{J}^2}{2I_B} + \left( \frac{1}{2I_C} - \frac{1}{2I_B} \right) \hat{J}_z^2 \right] |JKM\rangle$$

$$\therefore E_{JK} = BJ(J+1) + (C-B)K^2$$

Degeneracy:  $2(2J+1) \leftarrow K = -J, -(J-1), \dots, 0, \dots, (J-1), J$

Selection rules:  $\Delta J = \pm 1, \Delta M = 0, \pm 1, \Delta K = 0$

$$\therefore \Delta E_{J+1, K \leftarrow J, K} = 2BJ(J+1)$$

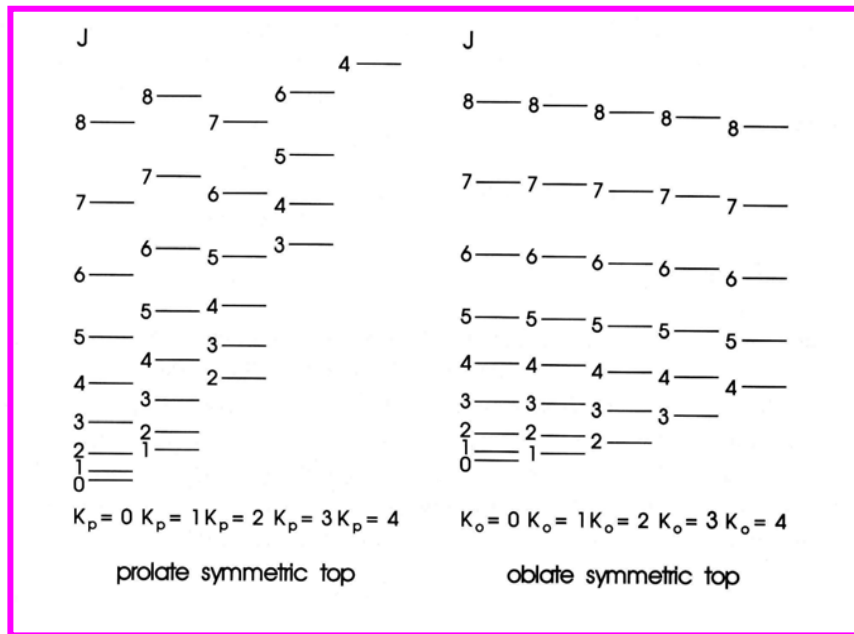
Centrifugal distortions ( $D_J, D_K, D_{JK}$ ):

$$E_{JK} = BJ(J+1) - D_J [J(J+1)]^2 + (A-B)K^2 - D_K K^2 - D_{JK} J(J+1)K^2$$

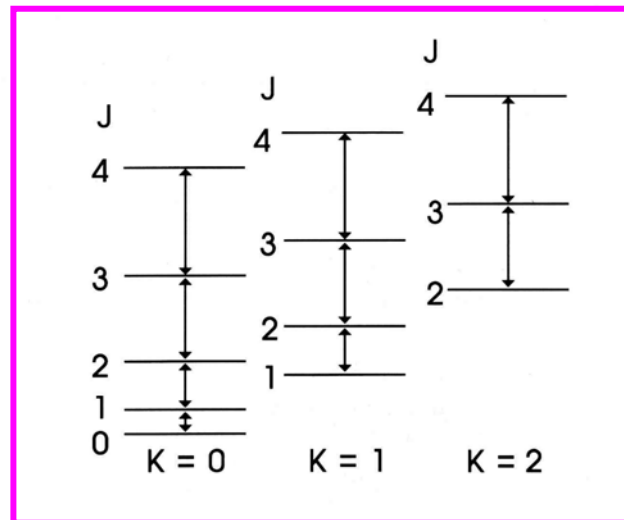
$$\therefore \Delta E_{J+1, K \leftarrow J, K} = 2BJ(J+1) - 4D_J (J+1)^3 - 2D_{JK} (J+1)K^2$$

*"K-dependent"*

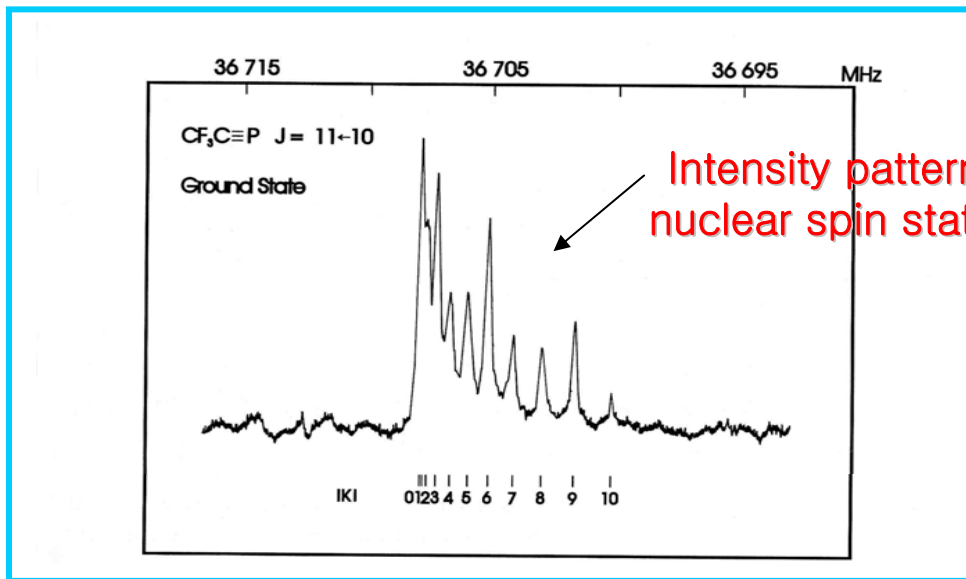
# Energy levels



# Allowed transitions



Example:  
CF<sub>3</sub>CP spectrum



# Asymmetric Top Molecules

## \* Hamiltonian operator

$$\hat{H}_{rot} = \frac{\hat{J}_a^2}{2I_A} + \frac{\hat{J}_b^2}{2I_B} + \frac{\hat{J}_c^2}{2I_C}, \quad \hat{J}^2 = \hat{J}_a^2 + \hat{J}_b^2 + \hat{J}_c^2$$

$$\begin{aligned} \hbar^2 \hat{H}_{rot} &= A\hat{J}_a^2 + B\hat{J}_b^2 + C\hat{J}_c^2 \\ &= \left(\frac{A+B}{2}\right)\hat{J}^2 + \left(C - \frac{A+B}{2}\right)\hat{J}_z^2 + \left(\frac{A-B}{4}\right)\left((\hat{J}^+)^2 + (\hat{J}^-)^2\right) \\ &\hspace{15em} \text{(oblate limit)} \\ &= \left(\frac{B+C}{2}\right)\hat{J}^2 + \left(A - \frac{B+C}{2}\right)\hat{J}_z^2 + \left(\frac{B-C}{4}\right)\left((\hat{J}^+)^2 + (\hat{J}^-)^2\right) \\ &\hspace{15em} \text{(prolate limit)} \end{aligned}$$

*“Due to  $(J^+)^2$ ,  $(J^-)^2$  in  $H_{rot}$ ,  $K$  is not good quantum number. But we use symmetric top basis set  $(|JKM\rangle)$  to describe asymmetric top problem. Also, we use prolate and oblate limiting cases to label asymmetric top states”*

\* Matrix methods: Energies

$$\langle JKM | \hat{J}^2 | JKM \rangle = J(J+1)\hbar^2, \quad \langle JKM | \hat{J}_z^2 | JKM \rangle = K^2\hbar^2$$

$$\langle JK+2M | (\hat{J}^-)^2 | JKM \rangle = \sqrt{J(J+1)-K(K+1)} \sqrt{J(J+1)-(K+1)(K+2)} \hbar^2$$

$$\langle JK-2M | (\hat{J}^-)^2 | JKM \rangle = \sqrt{J(J+1)-K(K-1)} \sqrt{J(J+1)-(K-1)(K-2)} \hbar^2$$

.... others all zero  $\rightarrow$  real symmetric matrix

"Diagonalization gives eigenvalues"  $\rightarrow$  "Solve secular equation"

e.g.  $J=1$

$$\begin{array}{l} \langle 1,1| \\ \langle 1,0| \\ \langle 1,-1| \end{array} \begin{pmatrix} |1,1\rangle & |1,0\rangle & |1,-1\rangle \\ C + \frac{A+B}{2} & 0 & \frac{A-B}{2} \\ 0 & A+B & 0 \\ \frac{A-B}{2} & 0 & C + \frac{A+B}{2} \end{pmatrix} \rightarrow \begin{array}{l} \langle 1,1| \\ \langle 1,-1| \\ \langle 1,0| \end{array} \begin{pmatrix} |1,1\rangle & |1,-1\rangle & |1,0\rangle \\ C + \frac{A+B}{2} & \frac{A-B}{2} & 0 \\ \frac{A-B}{2} & C + \frac{A+B}{2} & 0 \\ 0 & 0 & A+B \end{pmatrix}$$

secular equation:

$$\begin{vmatrix} C + \frac{A+B}{2} - \lambda & \frac{A-B}{2} \\ \frac{A-B}{2} & C + \frac{A+B}{2} - \lambda \end{vmatrix} = 0 \quad \therefore \lambda = C + A, C + B$$

$$\lambda = A + B$$

\* Labeling of energy levels

$$"J_{K_{prolate} K_{oblate}}" \rightarrow 0_{00}, 1_{01}, 1_{11}, 1_{01}, 2_{0,2}, \dots$$

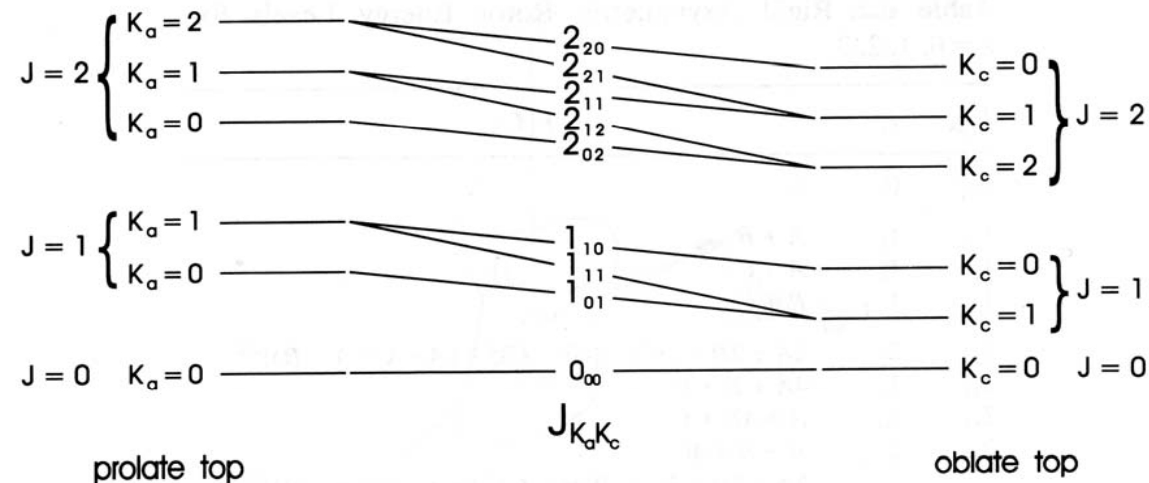
$$cf. "J_{\tau}" \leftarrow \tau = K_{prolate} - K_{oblate} = -J, \dots, +J$$

*"... each J state has (2J+1) nondegenerate K states..."*

Degeneracy: (2J+1) for each |JK>

Asymmetry parameter:  $\kappa = \frac{2B - A - C}{A - C} \rightarrow \kappa = -1$  (prolate),  $+1$  (oblate)

Correlation diagram:



## Energy levels and labels of asymmetric top

$J_{K_a K_c}$	$J_\tau$	$F(J_\tau)$
$0_{00}$	$0_0$	$0$
$1_{10}$	$1_1$	$A + B$
$1_{11}$	$1_0$	$A + C$
$1_{01}$	$1_{-1}$	$B + C$
$2_{20}$	$2_2$	$2A + 2B + 2C + 2[(B - C)^2 + (A - C)(A - B)]^{1/2}$
$2_{21}$	$2_1$	$4A + B + C$
$2_{11}$	$2_0$	$A + 4B + C$
$2_{12}$	$2_{-1}$	$A + B + 4C$
$2_{02}$	$2_{-2}$	$2A + 2B + 2C - 2[(B - C)^2 + (A - C)(A - B)]^{1/2}$
$3_{30}$	$3_3$	$5A + 5B + 2C + 2[4(A - B)^2 + (A - C)(B - C)]^{1/2}$
$3_{31}$	$3_2$	$5A + 2B + 5C + 2[4(A - C)^2 - (A - B)(B - C)]^{1/2}$
$3_{21}$	$3_1$	$2A + 5B + 5C + 2[4(B - C)^2 + (A - B)(A - C)]^{1/2}$
$3_{22}$	$3_0$	$4A + 4B + 4C$
$3_{12}$	$3_{-1}$	$5A + 5B + 2C - 2[4(A - B)^2 + (A - C)(B - C)]^{1/2}$
$3_{13}$	$3_{-2}$	$5A + 2B + 5C - 2[4(A - C)^2 - (A - B)(B - C)]^{1/2}$
$3_{03}$	$3_{-3}$	$2A + 5B + 5C - 2[4(B - C)^2 + (A - B)(A - C)]^{1/2}$



\* Selection rules:

$$\Delta J = 0, \pm 1, \Delta M = 0, \pm 1, \Delta K = ?$$

(i) a-type transitions ( $\mu_a \neq 0, \mu_b = \mu_c = 0$ ):

$$\Delta K_{prolate} = 0, (\pm 2, \pm 4, \dots)$$

$$\Delta K_{oblate} = \pm 1, (\pm 3, \pm 5, \dots)$$

(ii) b-type transitions ( $\mu_b \neq 0, \mu_a = \mu_c = 0$ ):

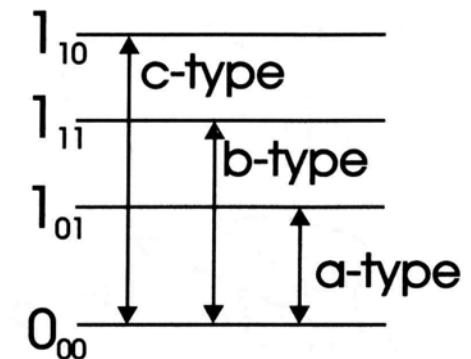
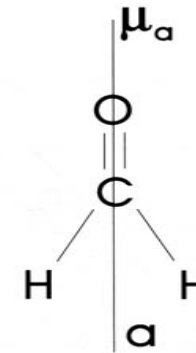
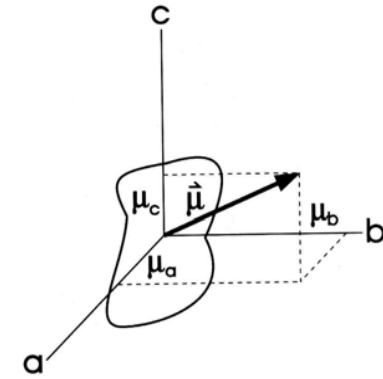
$$\Delta K_{prolate} = \pm 1, (\pm 3, \dots)$$

$$\Delta K_{oblate} = \pm 1, (\pm 3, \dots)$$

(iii) c-type transitions ( $\mu_c \neq 0, \mu_a = \mu_b = 0$ ):

$$\Delta K_{prolate} = \pm 1, (\pm 3, \dots)$$

$$\Delta K_{oblate} = 0, (\pm 2, \dots)$$



## \* Structure determination

### Diatomic molecules:

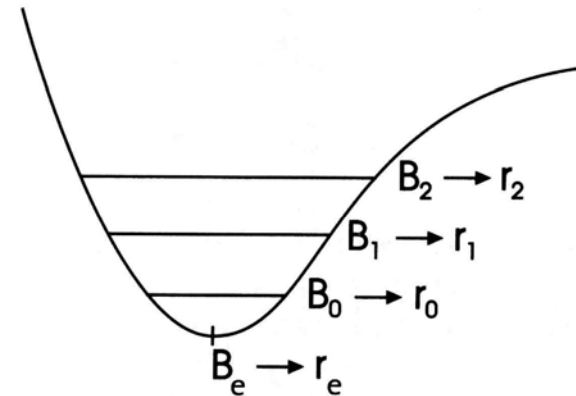
$$B_v = B_e - \alpha_e \left(v + \frac{1}{2}\right) + \gamma_e \left(v + \frac{1}{2}\right)^2 + \dots$$

$$\therefore B_0 = B_e - \frac{1}{2}\alpha_e + \frac{1}{4}\gamma_e + \dots$$

$$B_1 = B_e - \frac{3}{2}\alpha_e + \frac{9}{4}\gamma_e + \dots$$

$$\Rightarrow B_0, B_1 \rightarrow B_e \rightarrow r_e$$

*(more accurate than crystallography!!!)*



### Polyatomic molecules:

$$A_v = A_e - \sum_{i=1}^{3N-6} \alpha_i^A \left(v_i + \frac{1}{2}\right)$$

$$B_v = B_e - \sum_{i=1}^{3N-6} \alpha_i^B \left(v_i + \frac{1}{2}\right)$$

$$C_v = C_e - \sum_{i=1}^{3N-6} \alpha_i^C \left(v_i + \frac{1}{2}\right)$$

*“So many  $\alpha_i$ ’s to be determined”  
→ Isotope exchange is needed*